

Docket No. 239522US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Naohiro TODA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: ELECTROPHOTOGRAPHIC PHOTORECEPTOR, METHOD FOR MANUFACTURING THE
ELECTROPHOTOGRAPHIC PHOTORECEPTOR, AND IMAGE FORMING APPARATUS USING THE
ELECTROPHOTOGRAPHIC PHOTORECEPTOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
224723US0	10/176,578	06/24/02	NIIMI, et al.
222705US2	10/135,548	05/01/02	KAMI, et al.
221124US0	10/102,867	03/22/02	NIIMI
237901US0	10/454,556	06/05/03	NIIMI
228895US0	10/260,275	10/01/02	YASUTOMI, et al.
215226US2	6,576,388	06/10/03	SAKON, et al.
214919US0	09/985,347	11/02/01	NIIMI, et al.
211152US2	6,562,531	05/13/03	NIIMI
212644US0	6,516,169	02/04/03	NIIMI, et al.
215736US0	6,573,016	06/03/03	KAMI, et al.
215235US0	09/985,348	11/02/01	IKUNO, et al.
199885US0	6,558,863	05/06/03	ROKUTANZONO, et al.
195230US0	6,326,112	12/04/01	TAMURA, et al.
0557-4968-0	09/566,958	05/08/00	NIIMI, et al.
PER CLIENT	6,218,533	04/17/01	NIIMI
PER CLIENT	6,132,911	10/17/00	NIIMI
0557-4319-0	5,853,935	12/29/98	SUZUKI, et al.
0557-4311-0	6,087,055	07/11/00	NIIMI
0557-4118-0	5,871,876	02/16/99	IKUNO, et al.
0557-3647-0	5,677,094	10/14/97	UMEDA, et al.
0557-3748-0 DIV	5,804,343	09/08/98	UMEDA, et al.
0557-3363-0	5,547,790	08/20/96	UMEDA, et al.

*Present Application; listed for information

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 239522US0		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Naohiro TODA, et al.			
				FILING DATE Herewith		GROUP	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAI	Toshio ENOKIDA, et al., "CHARACTERISTICS OF Tau-FORM METAL-FREE PHTHALOCYANINE AND ITS IMPROVEMENT FOR ORGANIC PHOTORECEPTOR USE", Journal of Imaging Science, Vol. 35, No. 4, July/August 1991, pgs. 235 - 239.					
	AAJ						
	AAK						
	AAL						
	AAM						
	AAN						
	AAQ						
	AAP						
	AAQ						
	AAR						
	AAS						
	AAT						
	AAU						
	AAV						
	AAW						
	AAX						
	AAY						
Examiner						Date Considered	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 239522US0		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT			
				Naohiro TODA, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,981,125	11/09/99	Akihiko ITAMI			
	AB	6,576,388 B2	06/10/03	Yohta SAKON, et al.			
	AC	6,562,531 B2	05/13/03	Tatsuya NIIMI			
	AD	6,516,169 B2	02/04/03	Tatsuya NIIMI, et al.			
	AE	6,573,016 B2	06/03/03	Hidetoshi KAMI, et al.			
	AF	6,558,863 B2	05/06/03	Takashi ROKUTANZONO, et al.			
	AG	6,326,112 B1	12/04/01	Hiroshi TAMURA, et al.			
	AH	6,218,533 B1	04/17/01	Tatsuya NIIMI			
	AI	6,132,911	10/17/00	Tatsuya NIIMI			
	AJ	5,853,935	12/29/98	Tetsuro SUZUKI, et al.			
	AK	6,087,055	07/11/00	Tatsuya NIIMI			
	AL	5,871,876	02/16/99	Hiroshi IKUNO, et al.			
	AM	5,677,094	10/14/97	Minoru UMEDA			
	AN	5,804,343	09/08/98	Minoru UMEDA, et al.			
	AO	5,547,790	08/20/96	Minoru UMEDA, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AP	57-148745	✓ 09/14/82	Japan (with English abstract)		X	
	AQ	5-31965	✓ 02/21/84	Japan (with English abstract)		X	
	AR	59-36254	✓ 02/28/84	Japan (with English abstract)		X	
	AS	59-44054	✓ 03/12/84	Japan (with English abstract)		X	
	AT	59-49544	✓ 03/22/84	Japan (with English abstract)		X	
	AU	59-166959	✓ 09/20/84	Japan (with English abstract)		X	
	AV	61-239248	✓ 10/24/86	Japan (with English abstract)		X	
	AW	62-67094	✓ 03/26/87	Japan (with English abstract)		X	
	AX	63-366	✓ 01/05/88	Japan (with English abstract)		X	
	AY	63-116158	✓ 05/20/88	Japan (with English abstract)		X	
	AZ	63-196067	✓ 08/15/88	Japan (with English abstract)		X	
	AAA	64-17066	✓ 01/20/89	Japan (with English abstract)		X	
	AAB	4-318557	✓ 11/10/92	Japan (with English abstract)		X	
	AAC	10-326023	✓ 12/08/98	Japan (with English abstract, corresponding to US 5,981,125)		X	
	AAD	11-24298	✓ 01/29/99	Japan (with English abstract)		X	
	AAE	2001-115054	✓ 04/24/01	Japan (with English abstract)		X	
	AAF	2001-19871	✓ 01/23/01	Japan (with English abstract)		X	
	AAG	2000-187340	✓ 07/04/00	Japan (with English abstract)		X	
	AAH	2001-356506	✓ 12/26/01	Japan (with English abstract)		X	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							